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86-197

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: CANNING, John

Serial No.: 10/019,746

Examiner

Filed: January 15, 2002

Art Unit

For: METHOD OF WRITING GRATING STRUCTURES

Docket #

P07477US00/MP

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents

Washington, D.C. 20231

SIR:

**This IDS is submitted herewith pursuant to 37 CFR. §1.97-1.98 and includes the following:**

- ☒ A listing of the references on PTO-1449.
- ☒ A copy of references which have come to applicant's attention.
- ☒ A copy of a corresponding foreign Search Report explaining the relevance of those references.
- ☐ A separate explanation of relevance.

**Please note the following particulars concerning the filing of this IDS:**

- ☒ This IDS is filed **within three months** of the filing date of a national application other than a CPA, or within three months of the date of entry into the national stage as set forth in 37 CFR. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination, whichever event occurs last.

☐ This IDS is filed **after a first action**, but before a final action, allowance, or any other action which closes prosecution, **and**:

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☐ An appropriate statement is attached.

..... This IDS is filed **after a final action or allowanc** , but on/before payment of the issue fee, **and**:  
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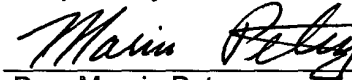
..... I hereby state that no item of information in this IDS herewith was cited in a communication  
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..... making reasonable inquiry, was known to any individual designated in 37 CFR. §1.56(c)  
..... more than 3 months prior to the filing of this IDS.

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..... This IDS is being filed pursuant to 37 CFR. §1.97(i), **for placement in the file.**

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Respectfully submitted,



Date: April 22, 2002

By: Marvin Petry

Registration No.: 22752

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Customized PTO/SB/08A

Substitute for Form 1449/APTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**Sheet 1 of 1

Application No.: 10/019,746  
Filing Date: January 15, 2002  
First Named Inventor: CANNING, John  
Group Art Unit:  
Examiner Name:  
Attorney Docket No.: P07477US00/MP

**U.S. PATENT DOCUMENTS**

Exam. Initial	Document No. Number - Kind	Publication Date MM-DD-YYYY	Name Patentee or Applicant	Relevance Passages or Figures
	5,768,454	06-16-1998	Chesnoy et al.	
	5,367,588	11-22-1994	Hill et al.	
	5,335,548	08-09-1994	Kalibjian	

**FOREIGN PATENT DOCUMENTS**

Exam. Initial	DOCUMENT Country-Number-Kind	Publ. Date MM-DD-YYYY	Name Patentee or Applicant	Relevance Passages or Figures	Trans
	WO 97/30365	08-21-1997	Corning Inc.		

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Exam. Initial	Author Name (in CAPS), Title of Article/Item, Date, Page(s), Volume-Issue No., Publisher, City and/or Country where published	Trans
	Optics Communications, Vol. 171, December 1999 (Elsevier), J. Canning, M.G. Sceats, and S. Fleming, "Granting structures with phase mask period in silicon-on-silicon planar waveguides", pp. 213-217	

Examiner Signature

Date Considered

\* Examiner: Initial if considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.